



TFM
Receipt

S&H Form: (2/01)
DOCKET NO. 1761.1061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Futoshi KOSUGI, et al.

Serial No: 10/506,355

Group Art Unit: 1764

Confirmation No. 3137

Filed: September 2, 2004

Examiner: Unassigned

For: METHOD OF AND DEVICE FOR LUBRICATING ROLLING BEARINGS

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the Assignee on the corrected Filing Receipt be corrected. The correct Assignee is **NTN CORPORATION, Osaka, JAPAN**, as is evidenced by the executed Assignment, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date:

September 6, 2006

By:

David M. Pitcher
David M. Pitcher
Registration No. 25,908

1201 New York Ave, N.W., 7th Floor
Washington, D.C. 20005
Telephone: (202) 434-1500
Facsimile: (202) 434-1501

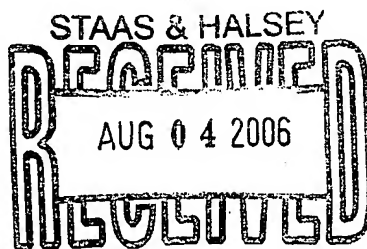


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,355	09/02/2004	1764	920	1761.1061	16	19	3

21171
STAAS & HALSEY LLP
SUITE 700
1201 NEW YORK AVENUE, N.W.
WASHINGTON, DC 20005



CONFIRMATION NO. 3137
CORRECTED FILING RECEIPT



OC000000019828704

Date Mailed: 07/31/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Futoshi Kosugi, Kuwana-shi, JAPAN;
Masatsugu Mori, Kuwana-shi, JAPAN;
Keiichi Ueda, Kuwana-shi, JAPAN;

-- Assignment For Published Patent Application --
-- NTN CORPORATION, Osaka, JAPAN --

Power of Attorney: The patent practitioners associated with Customer Number 21171.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/02447 03/03/2003

Foreign Applications

JAPAN 2002-59349 03/05/2002
JAPAN 2002-234481 08/12/2002

If Required, Foreign Filing License Granted: 07/31/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/506,355**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Method of and device for lubricating rolling bearings

Preliminary Class

508

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Assignment

譲渡証

Japanese Language Assignment

(1-8) Insert Name(s) of Inventor(s)

発明者の氏名を記入すること

(1) Futoshi KOSUGI,

(2) Masatsugu MORI and

(3) Keiichi UEDA, all of MIE, JAPAN

(4)

(5)

(6)

(7)

(8)

各々の署名人に対して支払われた総額1ドル
(\$1.00)および他の適切な有価約因を考慮して、各々の署名人は

In consideration of the sum of one dollar (\$1.00) and
other good and valuable consideration paid to each of the
undersigned, each undersigned agrees to assign, and hereby
does assign, transfer and set over to

(9) Insert Name of Assignee

譲受人氏名を記入すること

(9) NTN CORPORATION

(10) Insert Address of Assignee

譲受人住所を記入すること

(10)

3-17-4 Kyomachibori 1-chome, Nishi-ku, Osaka-shi, OSAKA 550-0003 JAPAN

(以降譲受人と称する)に対して、譲渡に同意し、ここに譲渡し、米国法第35章第100条に定義されるごとく、この発明および仮出願、非仮出願、分割出願、継続出願、差替え出願、及び再発行出願、および前記発明に関するすべての特許証、延長証、再発行証、再審査証を含む特許のための全ての出願において、アメリカ合衆国のためのすべての権利、所有権、および利益を譲受人の継承人、後継者、非譲渡者、および法定代理人に移転および譲渡し、

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, substitute, and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number

名称、事件番号、もしくは外国出願番号のような確認事項を記入のこと

(11) Method of and Device for Lubricating Rolling Bearings

(Attorney Docket No.
弁護士整理番号

前記署名人が下記の日付でアメリカ合衆国において特許出願を履行するものであり、

Or
もしくは

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith

(12) **Insert Date of Signing of Application**

出願に署名する日付を記入のこと

(12) on

(13) **Alternative Identification for filed applications**

提出された出願のための代案確認事項

(13) U.S. application Serial Number

右記の米国出願整理番号で

Filed:

上記日付において提出されたものである。

1)
各々の署名人は前記発明のための出願関係および、継続出願、分割出願、再発行出願およびこの件についての発行する特許において、必要な全ての書類を履行することに同意し、譲受人が必要と思われる、このような出願および特許についての別途譲渡証を履行することにも同意する。

2)
各々の署名人は、前記発明のための、出願もしくは継続出願、もしくはその分割出願、特許もしくはそれに基づく再発行出願に関して宣言されるインターフェレンスに関して必要な全ての書類を履行することに同意し、証拠取得およびそのようなインターフェレンスを進行するにあたり、いかなる方法においても前記譲受人と協力することに同意する。

3)
各々の署名人は、すべての用紙および書類を履行し、国際工業所有権保護協定の請求もしくは規定もしくは類似協定に関して必要ないかなる行為を施行することに同意する。

4)
各々の署名人は、前記譲受人に対して有効な米国特許の承認を取得し、維持し、もしくは再発行もしくは再審査により、確認するために、必要なすべての積極的な行為を履行することに同意する。

5)
各々の署名人は、全ての利益の譲受人として、特許庁長官に前記出願から発生したすべての米国特許証を前記譲受人に対して発行することを許可および請求し、ここに署名するにおいて、譲受人は全ての利益を譲るためのすべての権利を有し、対立関係にある協定を過去に履行することなくもしくは将来履行しないことを誓約し、この協定が前記譲受人、譲受人の継承人、後継者、非譲渡者、および法定代理人に法的義務を負わせるものであることに同意する。

6)
各々の署名人は、この書類の記録上、米国特許商標庁の規定に従うために、必要もしくは所望であればこの譲渡証にさらに確認事項を記入するための権利が(事務所名を下記に記入)

STAAS & HALSEY LLP

事務所に付与されていることをここに承認する。

1) Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.

2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.

5) Each undersigned authorizes and requests the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.

6) Each undersigned hereby grants the firm of STAAS & HALSEY LLP the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

証人立ち会いのもとで、署名人の隣の日付をもってこの署名が履行される。

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 日付	<u>August 12, 2004</u>	Inventor Signature 発明者署名	<u>F. Kozugi</u>	(SEAL 印))
Date 日付	<u>August 12, 2004</u>	Inventor Signature 発明者署名	<u>M. Mori</u>	(SEAL 印))
Date 日付	<u>August 12, 2004</u>	Inventor Signature 発明者署名	<u>K. Veda</u>	(SEAL 印))
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印))
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印))

この譲渡証は(a)アメリカ合衆国内で署名される際には公証人の面前で、(b)アメリカ合衆国外で署名される際には米国領事の面前で署名されるべきである。(a)もしくは(b)が不可能な際には、下記に署名する少なくとも二人の証人の面前で署名されるべきである。

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date 日付	_____	Witness 証人	_____
Date 日付	_____	Witness 証人	_____